

### Status of Claims

Claims 1-10 are pending in this application.

Claims 1-10 have been cancelled.

Claims 11-20 have been added by this amendment. Support for these new claims can be found throughout the specification, drawings and claims as originally filed.

### REMARKS

This case is a National Phase of a PCT application that was written in German Language. Upon entering the National Phase here in the United States, Applicant submitted a certified English translation of the PCT application. By this preliminary amendment, Applicant has cancelled original claims 1-10 and added new claims 11-20. Additionally, Applicant has amended the German translation of the specification by submitting a substitute specification. Applicant respectfully requests entry of the substitute specification and new claims 11-20 prior to examination of this case.

Respectfully submitted,

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[Translation from German]

**Method of producing LED bodies  
with the aid of a cross-sectional constriction**

Method For Producing LED Bodies

**[0001]**      This application is a National Stage of International Application No. PCT/DE03/03060, filed September 15, 2003 which claims priority to DE 102 42 947.2 filed September 16, 2002. The disclosures of the above applications are incorporated herein by reference.

**Description:**

Background of the Invention and Summary

**[0002]**      Method of producing light-conducting LED bodies of a material that is free-flowing before final solidification, by introduction into a mold, where the individual LED body comprises at least one light-emitting chip and at least two electrodes - connected electrically with the chip - and where the free-flowing material is injected between a floor region of the mold and the chip, at least approximately parallel to the chip plane and at least approximately normal to a plane formed by two electrodes, between the electrodes.

**[0003]**      DE 101 59 522 discloses a method of this kind for producing light-emitting diodes. The diode to be produced is a radial LED, whose mold is filled by radial injection of free-flowing material. The material is injected under the chip normal to a plane established by the electrodes. In this method, the

material filling the mold flows from below around the chip and the bonding wire placed over it. By this method the bonding wire is protected from being torn off by the incoming material. However, it frequently happens that - viewed in the direction of injection of material - the material introduced into the mold piles up on one side before or after the electrodes. As a result, the flow front flowing predominantly toward one side of the chip can push the bonding wire aside hard enough to cause it to come into contact with the cathode. As further flow toward the diode takes place, the component fails due to short circuit.

**[0004]** The present invention therefore is based on the problem of developing a method of producing light-conducting LED bodies in which, at customary output capacities of known injection or molding operations, the LED electronics are not adversely affected.

**[0005]** This problem is solved by the features of the main claim. For this purpose, the volumetric flow of a free-flowing material, at a distance of the electrode plane from the charging point that is greater than 30% of the distance between the charging point and the mold side of the mold lying opposite the charging point – is choked above the charging point and below the chip plane on the mold side of the charging point by at least one cross-sectional constriction, while - at a distance that is smaller than or equal to 30% of this distance - choking takes place on the mold side lying opposite the charging point.

**[0006]** By this method of producing a luminescent diode, a given specification of the charging point and of the direction of introduction in conjunction with a prescribed choking of the volumetric flow of material at a

defined spot procures a flow condition that permits controlled, uniform filling of the mold without any damage to the LED electronics. For choking, a molding element that narrows the cross section of flow between the front edge of the molding element and the chip is located in the individual mold cavity opposite the electrode fence. The geometric dimension of the molding element and its surface structure turned toward the volumetric flow is selected according to the type of synthetic material, or as necessary. This is simple to accomplish with the use of replaceable choke slides bearing the molding element.

**[0007]** The molding element causes the in-flowing material to be choked at least on one side in such a way that the flow fronts moving from below against the chip on both sides of the electrodes contact and flow around the chip and the bonding wire virtually simultaneously. The virtually simultaneous envelopment of the bonding wire stabilizes the bonding wire in its structurally preplanned position.

**[0008]** The method is likewise applicable to luminescent diodes having a plurality of chips and electrodes.

~~Additional details of the invention appear in the subclaims and the following description of a number of exemplary embodiments represented schematically.~~

**[0009]** Further areas of applicability of the present invention will become apparent from the detailed description provided hereinafter. It should be understood that the detailed description and specific examples, while indicating

the preferred embodiment of the invention, are intended for purposes of illustration only and are not intended to limit the scope of the invention.

### Brief Description of the Drawings

**[0010]** Figure 1: LED mold with cross-sectional constriction over the point of injection;

**[0011]** Figure 2: LED mold with cross-sectional constriction opposite the point of injection;

**[0012]** Figure 3: Side view of an LED in a mold with the molding element slide driven in;

**[0013]** Figure 4: Front view of the LED in Figure 3;

**[0014]** Figure 5: Top view of LED fabrication connections near the injection molding nozzles;

**[0015]** Figure 6: View from below of the LED in Figure 1 with a plurality of parting lines;

**[0016]** Figure 7: Side view of an LED in a mold with the molding element slide driven out;

**[0017]** Figure 8: Top view of Figure 7, largely without mold.

### Detailed Description of the Invention

**[0018]** Figures 3 to 6 show an LED 10 whose light-conducting body 20 is produced for example by molding in one injection molding step.

**[0019]** Here the LED  $10$  represented has an LED body  $20$  theoretically divided into two zones  $21, 41$ , cf. Figure 4. The lower zone  $41$  of the body  $20$  is a so-called electronics protective zone, while the upper zone  $21$  is designated a light-conducting zone. The two zones are separated from each other by an imaginary parting line  $39$ . The parting line  $39$  is represented dash-dotted only in Figure 4.

**[0020]** The electronics protective zone  $41$  as a rule surrounds the electrical connections  $1, 4$  lying in a plane  $19$ , the light-emitting chip  $6$ , a bonding wire  $2$  and a reflector basin  $5$ . The latter for example is part of the cathode  $4$ . The chip  $6$  sits in the reflector basin  $5$ . The chip  $6$  contacts the anode  $1$  via the bonding wire  $2$ . There the bonding wire  $2$  preferably lies in a plane  $19$ , which is established by the center lines of the electrodes  $1, 4$ . The light-conducting zone  $21$  lying above the chip carries the light emitted by the chip  $6$  as loss-free as possible to the outer surface  $14, 15$  of the LED  $10$ .

**[0021]** With respect to its spatial design, the LED body  $20$  of the exemplary embodiment consists of three geometric bodies  $11, 14, 15$  placed side by side. The lower geometric body  $11$  is at least approximately a straight cylinder with two at least approximately parallel faces and for example two plane flattenings  $12, 13$ . The flattenings  $12, 13$  are parallel to the longitudinal axis  $18$  of the LED and together enclose a right angle. One flattening  $12$  is parallel to the electrode plane

[[19]] 19, formed by the center lines of the electrodes [[(1, 4)] 1, 4. The lower face forms the so-called floor region [[(42)] 42. The upper face connects to an upright truncated cone [[(14)] 14, which tapers away from the cylinder [[(11)] 11. A dome [[(15)] 15 sits on the truncated cone [[(14)] 14 as the third geometric body. A tangential transition between the dome [[(15)] 15 and the truncated cone [[(14)] 14 is found for example in the LED longitudinal section.

**[0022]** In the exemplary embodiment, the larger face diameter of the truncated cone [[(14)] 14 measures about 5 mm. It is termed the base size. The taper of the truncated cone [[(14)] 14 is for example 20% of the base size. The total height of the LED [[(10)] 10 corresponds to about 180% of the base size. The height of the cylinder [[(11)] 11, which as a flange-like collar with respect to its radius projects over the truncated cone by about 10% of the base size, measures about 30% of the base size. The depth of the flattenings [[(12, 13)] 12, 13 amounts to about 8% of the base size.

**[0023]** The region of the truncated cone [[(14)] 14 lying above the chip [[(6)] 6 and the dome [[(15)] 15 form the main outlet area.

**[0024]** For LED fabrication the electrodes [[(1, 4)] 1, 4 are part of an as a rule flat, punched, so-called electrode fence [[(80)] 80. Within this fence the electrodes [[(1, 4)] 1, 4 are continuously connected together via crosspieces [[(81)] 81. A fence [[(80)] 80 contains for example 32 electrodes for 16 LEDs [[(10)] 10. The minimum distance apart of the LEDs [[(10)] 10 integrated side by side in the fence [[(80)] 80 amounts to at least 10% of the maximum diameter and/or maximum width of the individual LED [[(10)] 10 in the electrode or fence

plane 19. In the exemplary embodiment, the distance apart of the center lines 18 of two neighboring luminescent diodes 10 amounts to about 150% of the base size.

**[0025]** For the injection molding of LEDs 10, a multiple-part mold 61-63 is used, which together with the injection molding nozzle 71 specifies the design of the luminescent diode 10. The major part of the diode 10 to be fabricated is comprised of a slide mold 62. The latter for example forms a seamless main outlet area and the part of the peripheral areas of the electronics protective zone 41 which is turned away from a neighboring base mold 61. The floor region 42 and the remaining peripheral areas of the LED 10, with the exception of a suction channel 66 and the injection molding nozzle system, are locked by the base mold 61 and a lift mold 63, where for example a choke slide 31 is integrated in the base mold 61 of Figures 3 – 8.

**[0026]** The base mold 61 for example is one of the fundamental elements of the injection molding tool. Here, it is fastened to the stationary part of the tool and is not moved upon ejection. It has a recess 73 into which the injection molding nozzle 71 projects sealingly.

**[0027]** In the base mold 61, according to Figures 3 - 8, for each mold cavity 60 a choke slide 31 is inserted into a channel 91, which here is rectangular. The choke slides 31 are joined together for example in their back regions via crosspieces, cf. Figures 5 and 8. The direction of movement of the choke slides 31 for example is oriented



parallel to the floor region  $42$  of the LED  $10$  and normal to the electrode fence  $80$ . With regard to the luminescent diode  $10$ , the upper side of the respective free end of a choke slide  $31$  is on or just below the chip plane  $7$ .

**[0028]** Depending on the spatial conditions in the mold  $61-63$ , the choke slide  $31$  may alternatively enclose an angle of 5 to 45° with the electrode fence plane  $19$ . Optionally, the choke slide  $31$  may alternatively be moved by a pivoting or helical motion within the mold  $61-63$ .

**[0029]** The end of the choke slide  $31$  projecting into the cavity  $60$  is termed a molding element  $32$ . Its face turned toward the LED center line  $18$  is for example a curved spatial surface  $33$ , which corresponds exactly to the cross-sectional area that is produced in a spatial section between the truncated cone  $14$  and the channel  $91$ , i.e., the curvature corresponds to that of the convex surface of the outer surface  $14$ . In the plane of the drawing of Figure 3 - i.e., in the longitudinal section - the molding element  $32$  has a trapezoidal cross section. The shearing action of the trapezoidal cross section with respect to the LED center line  $18$  here corresponds to the angle of the truncated cone  $14$ . In the horizontal top view, cf. Figure 5 bottom, the surface of the molding element  $32$  projecting into the cavity  $60$  is shown hatched. The curved edging of this surface  $34$  oriented to the LED center line  $18$  represents the upper edge  $36$  as a circular arc section.

**[0030]** This upper edge  $[[[36)]]$  36, which at the same time is the front edge of the molding element  $[[[26, 28, 32)]]$  26, 28, 32, may have any desired curvature, not necessarily plane. In addition, it is capable of being equipped with a flow-influencing structure projecting into the volumetric flow. The structure may be a fluting, a corrugated profile, a knob structure or the like.

**[0031]** In the exemplary embodiment of Figures 3 and 7, the choke slide  $[[[31)]]$  31 adjoins the slide mold  $[[[62)]]$  62 regionwise.

**[0032]** In Figure 1, instead of the choke slide  $[[[31)]]$  31, a projection  $[[[26)]]$  26 projects into the cavity  $[[[60)]]$  60. The projection  $[[[26)]]$  26 is part of the base mold  $[[[61)]]$  61. The longitudinal-section contour  $[[[35)]]$  35 of this projection and/or molding element encloses a 24°-angle with the LED center line  $[[[18)]]$  18.

**[0033]** According to Figure 3, the lift mold  $[[[63)]]$  63 is located opposite the base mold  $[[[61)]]$  61. According to this representation, for ejection the former is moved away from the base mold  $[[[61)]]$  61 toward the right. When the mold  $[[[61-63)]]$  61-63 is closed, the mold parts  $[[[61)]]$  61 and  $[[[63)]]$  63 touch in a parting line  $[[[65)]]$  65 represented in Figure 6. The parting line  $[[[65)]]$  65 is divided in the region between the electrodes  $[[[1, 4)]]$  1, 4 to form an aperture  $[[[67)]]$  67. The aperture  $[[[67)]]$  67 is an edge of the suction channel  $[[[66)]]$  66 contacting the floor region  $[[[42)]]$  42, cf. Figure 3. The suction channel  $[[[66)]]$  66 is displaced with respect to the electrode plane  $[[[19)]]$  19 by several tenths of a millimeter away from the injection molding nozzle  $[[[71)]]$  17.

**[0034]** A hold-down device  $69$  is located in the lift mold  $63$ . The hold-down device  $69$  is supported displaceable there - for example in the direction of the opening lift of the mold. It clamps the electrode fence  $80$  against the base mold  $61$ .

**[0035]** The slide mold  $62$  moves on the plane formed by the mold parts  $61, 63$ , on which the later floor region  $42$  of the LED  $10$  rests, and on the contour of the base mold  $61$  surrounding the injection molding nozzle  $71$ . Between the slide mold  $62$  and the base mold  $61$  lies a spatially stepped parting line  $64$ .

**[0036]** The slide mold  $62$ , which surrounds the major part of the future LED surface, is penetrated by at least one tempering channel  $68$ , in order to temper the mold and the other tool parts surrounding it by means for example of water or oil at for example  $40-160^{\circ}\text{C}$ . In Figure 3, the slide mold  $62$  is represented only by way of example as one part. For the case that the diode-shaping part is seated within the slide mold  $62$  in a separate slide support, the latter may alternatively be equipped with the tempering channel. According to Figure 2, the slide mold  $62$  optionally bears a projection  $28$ . Its upper edge alternatively lies on or below the chip plane  $7$ .

**[0037]** In preparation for injection molding, the mold  $61-63$  is opened. For this purpose, the mold parts  $63, 69$ , according to Figure 3, are moved away to the right. The slide mold  $62$  is driven by means of a guide, not represented - at an angle of for example  $25^{\circ}$  with respect

to the injection molding nozzle center line 75 - obliquely toward the right above to the side. The electrode fence 80, equipped with the chip 6 and the corresponding bonding wires 2, is inserted and centered on the base mold by index pins, not represented. For closing the mold 61-63, the lift mold 63 moves on to the base mold 61. The hold-down device 69 seated in it continues to travel in the direction of closing until the electrode fence 80 is firmly clamped to the base mold 61. By way of example, at the same time the slide mold 62 moves to the molds 61 and 63. The choke slide 31 is now pushed into the cavity 60 so far that the cross-sectional area 30 of the narrowest point between the electrode fence 80, represented hatched in Figure 5, has reached its minimum. Here, the reduction in cross section may amount to 20 - 80% of the original cross section.

**[0038]** The cavity of the mold 61-63 to be injected with free-flowing material is evacuated via the suction channel 66 and for example via the gap between the lift mold 63 and the hold-down device 69. The vacuum is maintained during the entire injection molding process.

**[0039]** Immediately after evacuation, the hot free-flowing material 8 or 9 is introduced into the corresponding cavity of the mold 61-63 via the respective injection molding nozzle 71, for example a so-called torpedo nozzle. The center line 75 of the injection molding nozzle 71 and of the stream emerging from it is here aligned normal to the

electrode plane 19. It lies between the floor region 42 and the lowermost point of the reflector basin 5. In the exemplary embodiment the center line 75 is located at half the height of the cylinder 11. At the same time, it runs midway between the electrodes 1, 4, cf. Figures 5 and 8.

**[0040]** According to Figure 2, during the injection molding operation, the liquid synthetic material 8, for example a transparent, optionally colored thermoplastic capable of injection, such as modified polymethylmethacrylimide (PMMI), is shot into the evacuated, tempered mold 61-63 at a pressure of  $700 \pm 300$  bar. The flow rate is for example 0.2 to 10 millimeters per second. The stream passes the electrodes 1-4 displaced toward the charging point 70 - by a distance calculated from the difference between the inside distance 86 and the distance 85 - midway and divides at the wall of the mold 62 lying opposite the charging point 70. In doing so, the stream loses so much energy that the incoming synthetic material, upon filling up the cavity, cf. Figure 1, flows from below upward before and after the electrode plane 19. Choking of the volumetric flow produced by the projection 28 forces an approximately uniform movement upward of the flow front 92-95 in front of and behind the electrode fence 80. Between the positions 94 and 95 of the flow front, the rapidly flowing material 8 reaches the bonding wire 2 before and after the electrode fence 80 at the same time and with a direction of flow that runs parallel to the LED center line 18. The flow proceeds around the bonding wire 2

2 without altering its prescribed position. The bonding wire 2 is neither pushed to the side nor torn off.

**[0041]** If the material 8 or 9 is introduced into a mold in which the electrodes 1, 4 or the electrode plane 19 are or is moved from the charging point further than 35% of the distance 86 between the mold sides 78 and 79, for example at a central position within the mold 61-63, molding elements 26, 32 that lie directly above the charging point 70 are used for choking the volumetric flow, cf. Figures 1, 3 and 7. Here, the material 8, 9 piles up before the electrode fence 80 and moves - without a corresponding molding element 26, 28 - faster upward there than behind the fence 80. When the molding elements 26, 32 are used, the respective material 8, 9 moves, at least in the region of the bonding wire 2, past the chip 6 at virtually the same time. In this flow around the chip, the optimal position of the bonding wire 2 likewise is not altered.

**[0042]** In the device of Figures 3 - 8, after complete preliminary filling of the mold, the pressure of the material is maintained and the choke slide 31 pulled back all the way to the outer contour 14 of the LED 10. As a result, the space freed up by the choke slide 31 fills up.

**[0043]** After injection molding and ejection, in a separating operation the crosspieces 81 between the luminescent diode 10 and the electrodes 1, 4 of the individual LEDs 10 are removed by for example stamping.

**List of reference numerals**

- 1 ——— Connection, anode, electrode
- 2 ——— Bonding wire, aluminum wire
- 4 ——— Connection, cathode, electrode
- 5 ——— Reflector basin
- 6 ——— Chip
- 7 ——— Chip plane
- 8 ——— Material, thermoplastic
- 9 ——— Material, pressure-setting material, epoxy resin
- 10 ——— LED, luminescent diode, diode
- 11 ——— Cylinder, flange-like collar
- 12, 13 ——— Flattenings
- 14 ——— Truncated cone, outer contour
- 15 ——— Dome
- 16 ——— Shape of injection molding nozzle
  
- 18 ——— LED center lines, LED longitudinal axes
- 19 ——— Electrode plane, fence plane
- 20 ——— LED body
- 21 ——— Light-conducting body
- 22 ——— 1<sup>st</sup> flow front to (26)
- 23 ——— 2<sup>nd</sup> flow front to (26)
- 24 ——— 3<sup>rd</sup> flow front to (26)
- 25 ——— 4<sup>th</sup> flow front to (26)
- 26 ——— Projection, molding element on (61)
  
- 28 ——— Projection, molding element on (63)
  
- 30 ——— Cross-sectional constriction, choke point, cross-sectional area
- 31 ——— Slide, choke slide
- 32 ——— Molding element

- 33 ——— Spatial surface, curved
- 34 ——— Area of molding element that projects into (60)
- 35 ——— Contour, contour of longitudinal section
- 36 ——— Upper edge
  
- 38 ——— Parting line between (61) and (31)
- 39 ——— Parting line, imaginary, between (21) and (41)
  
- 41 ——— Electronics protective zone
- 42 ——— Floor region
  
- 60 ——— Mold cavity
- 61 ——— Base mold
- 62 ——— Slide mold
- 63 ——— Lift mold
- 64 ——— Parting line between (61) and (62)
- 65 ——— Parting line between (61) and (63)
- 66 ——— Suction channel
- 67 ——— Aperture
- 68 ——— Tempering channel
- 69 ——— Hold-down device
  
- 70 ——— Charging point for material (8, 9)
- 71 ——— Injection nozzles, torpedo nozzles, hot channel nozzles
- 72 ——— Heating cartridges
- 73 ——— Recess in (61)
  
- 75 ——— Center lines of injection nozzles
- 78 ——— Mold side on which the injection molding nozzle (71) lies
- 79 ——— Mold side opposite the injection molding nozzle (71)



80 ——— Electrode fence (lead frame strips), flat

81 ——— Crosspieces, upper

85 ——— Distance between (70) and (81)

86 ——— Distance between (70) and (79) in the region of the collar (11)

91 ——— Channel

92 ——— 1<sup>st</sup> flow front to (28)

93 ——— 2<sup>nd</sup> flow front to (28)

94 ——— 3<sup>rd</sup> flow front to (28)

95 ——— 4<sup>th</sup> flow front to (28)

~~Method of producing LED bodies~~  
~~with the aid of a cross-sectional constriction~~

Abstract:

Method of producing light-conducting LED bodies of a free-flowing material by introduction into a mold. Here, the volumetric flow of a free-flowing material, at a distance of the electrode plane from the charging point that is greater than 35% of the distance between the charging point and the mold side of the mold situated opposite the charging point - above the charging point and below the chip plane on the mold side of the charging point, is choked by at least one cross-sectional constriction, while - at a distance that is smaller than or equal to 35% of this distance - choking takes place on the mold side situated opposite the charging point.

The present invention develops a method of producing light-conducting LED bodies in which, at customary output capacities of the molding operation, the LED electronics are not damaged.

~~Figure 1~~